

(Pages : 3)

S – 7424

Reg. No. :

Name :

Fifth Semester B.A/B.Sc./B.Com. Degree Examination, February 2024

First Degree Programme under CBCSS

Double Main Programme

Open Course

CO 1551.3/CC 1551.3/CX 1551.3/TT 1551.3 : CAPITAL MARKET
OPERATIONS

(2020 Admission Onwards)

(Common for Commerce/ Commerce with Computer Application/
Commerce and Tax Procedure and Practice/ Commerce and Tourism and
Travel Management)

Time : 3 Hours

Max. Marks : 80

SECTION – A

Answer **all** questions in **one** word or to a maximum of **two** sentences. Each question carries **1** mark.

1. Define capital market.
2. What do you mean by commercial papers?
3. What is right issue?
4. Define prospectus.
5. Define depository.

P.T.O.



6. What is dematerialisation?
7. What do you mean by private placement?
8. What is a derivative instrument?
9. What is IPO?
10. What is arbitrage?

(10 × 1 = 10 Marks)

SECTION – B

Answer any **eight** questions in not exceeding one paragraph each. Each question carries **2** marks.

11. What do you mean by primary market?
12. Define scripless trading.
13. What are junk bonds?
14. What is short sale?
15. What is Gilt edged security?
16. Explain Repurchase Agreement.
17. What is underwriting?
18. What is a future contract?
19. What are A group shares?
20. Who is a jobber?
21. What is cornering?
22. What is financial market?

(8 × 2 = 16 Marks)



SECTION – C

Answer any **six** questions in about **120** words each. Each question carries **4** marks.

23. What are the functions of capital market?
24. What are the objectives of SEBI?
25. Discuss the functions of new issue market.
26. Explain the features of OTC Exchange.
27. Distinguish between speculation and investment.
28. Explain the features of derivative instruments.
29. Who is a merchant banker? What are his functions?
30. Explain the advantages of listing.
31. What are the functions of stock exchange?

SECTION – D

(6 × 4 = 24 Marks)

Answer any **two** questions not exceeding 4 pages each. **Each** question carries **15** marks.

32. Explain the recent trends in the capital market.
33. Elucidate the role of various intermediates in the new issue market.
34. How does the OTC Exchange work in India?
35. Examine the role of derivatives in Indian capital market.

(2 × 15 = 30 Marks)



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